

Quarterly report: Q3 2024 (July 1 to September 30)

1. PROCEEDINGS AND HEARINGS									
Proceeding type and section(s) of the <i>Securities Act</i>	Number of proceedings active at some point during the quarter					Oral hearings		Written hearings	Avg. age of proceedings active at quarter end
	Active throughout the quarter	Commenced during, and still active at end of, the quarter	Active at beginning of, and closed during, the quarter	Both commenced and closed during the quarter	Total	Number	Full hearing day equivalents	Number	Months
Enforcement (initiated by a Statement of Allegations – s. 127)	16		3		19	16	9		18.5
Temporary order (s. 127)	5	2	1		8	5		1	15
Authority to disclose information (s. 17)		2	1	2	5				
Review of self-regulatory organization's or Director's decision (s. 8 or s. 21.7)	1				1	1	1		7
Further decision, or revocation or variation of a decision (s. 9(6) or s. 144)									
Transactional proceeding re a take- over bid, issuer bid, amalgamation, statutory arrangement, merger or acquisition, related party transaction or meeting of security holders (s. 104 or s. 127)	1		1		2	1	2		0
Other application for an order not specified above									
Total	23	4	6	2	35	23	12	1	

2. REASONS FOR DECISION ISSUED

Goal: for simple decisions, within 45 days at least 90% of the time; for other decisions, within 90 days at least 80% of the time. *

	Issued within guideline (45 days for simple; 90 days for other)	
	Q3 2024	Most recent four quarters
Simple	1	2 of 2 (100%) *
Other	4 of 5 (80%)	28 of 35 (80%)

* Classification of decisions into the simple and other categories took effect in Q2.
For prior quarters, all decisions are included in the “Other” category.

3. NUMBER OF ORDERS ISSUED

(may include confidential orders)

Q3 2024	Q2 2024	Q1 2024	Q4 2023
16	15	23	46