



Capital  
Markets  
Tribunal

Tribunal des  
marchés  
financiers

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20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue Queen ouest  
Toronto ON M5H 3S8

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**IN THE MATTER OF  
THOMAS JOHN FINCH**

File No. 2023-29

**Adjudicator:** Timothy Moseley (chair of the panel)  
Jane Waechter

March 6, 2024

**ORDER**

WHEREAS on March 6, 2024, the Capital Markets Tribunal held a hearing by videoconference;

ON HEARING the submissions of the representatives for Staff of the Ontario Securities Commission and for Thomas John Finch;

IT IS ORDERED THAT:

1. by April 5, 2024 at 4:30 p.m., the respondent shall:
  - a. serve and file their witness list,
  - b. serve a summary of each witness's anticipated evidence, and
  - c. indicate any intention to call an expert witness, including providing the expert's name and the issues on which the expert will give evidence; and
2. a further attendance in this matter is scheduled for May 6, 2024, at 1:00 p.m., by videoconference, or on such other date and time as may be agreed to by the parties and set by the Governance & Tribunal Secretariat.

*"Timothy Moseley"*

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Timothy Moseley

*"Jane Waechter"*

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Jane Waechter